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Concept Note

Engagement Facility[1]

Project title: Project Development and Oversight Support for Climate Action Programme

Expected UNSDCF/CPD Outcome: Outcome 3: By 2028, all people benefit from just transition to low-carbon, climate-resilient development, sustainable management of environment, natural resources and biodiversity and strengthened resilience to disasters and natural hazards.
Expected CPD Output(s):

Output 3.1 Relevant policies, frameworks, strategies and local actions developed to support NDC implementation.
Output 3.2 National and subnational institutions have improved adaptive capacities and resilience to disasters and climate change through increased financing, enhanced tools and platforms, data-driven planning and development and enabling policies.
Output 3.3 Public and private institutions have improved capacities to promote sustainable management of biodiversity and natural resources, promote equitable sharing of benefits, particularly for women's groups, indigenous peoples.
Output 3.4 Public and private institutions have improved capacities to promote increased investments in low-carbon, climate and disaster resilience and biodiversity conservation.

Start/End Dates:	**01 January 2026 to 31 December 2026**
Project location/s:	**Multiple locations around the country**

Brief Description:

Project Development

This engagement facility (EF) follows a three-pronged approach to support the Climate Action Programme (CAP) on its programme implementation and resource mobilization. It will cover project development, continuation of select closing projects in 2026, and provision of support for project oversight.

This EF will provide a rapid and flexible response mechanism to support upstream policy results and evaluation and assessments, on climate change and adaptation, natural resources management and biodiversity, and circular economy. It contributes to results identified in the UNDP Strategic Plan and Country Programme Documents. Specifically, this will facilitate the

[1] See the policy document on Engagement Facility https://popp.undp.org/document/engagement-facility

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continuous development of pilot initiatives and short-term project activities under the CAP, while also offering an opportunity to consolidate existing individual PIPs to ensure continuity of implementation and lay the groundwork for the eventual development of full project documents.

CAP has three projects that are due for closure in 2026 in accordance with the period limit for PIPs. With the ongoing development of these projects, a longer period is necessary to complete the activities, such as final stakeholder consultations and development of a full project document. The remaining activities for each project are detailed in the attached WBS.

The Climate Action Programme provides oversight function to projects funded by the Global Environment Facility (GEF) as part of its role as an implementing agency (IA). While agency fees are charged at the onset of project development, this allocation excludes activities to be accomplished by IAs during implementation phase. In line with this, this project will facilitate the participation of UNDP staff and enable provision of timely oversight support support to GEF project implementation. This will cover activities led and implemented by NIM and NIM with CO support projects such as site monitoring visits/ assessments, project evaluations, and project assurance. This support will contribute to the achievement and acceleration of vertical fund implementation.

Overall, this EF will cover costs for consultants, workshops, conferences, and participation of UNDP staff to related project activities including travels.

Total budget:	**USD 241,97.77**
HFCs Phase-Down Management Plan Preparation	**USD47,914.50**
National Plastic Action Plan	**USD126,947.27**
GEF Project Oversight and Execution Support	**USD67,064.00**

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I. Purpose and Expected Output

Project Development

The Engagement Facility provides a fast and flexible mechanism for UNDP to support policy development, assessments, crisis response, and the testing of scalable innovations in line with the UNDP SP and CPD. Under CAPT portfolio, it is currently being utilized to initiate and sustain several priority initiatives, allowing the immediate start and uninterrupted continuation of activities that will lead to the completion of full project documents. This engagement facility may finance consultants, UNDP staff inputs, publications, workshops and learning events, South-South and triangular cooperation, institutional partnerships, and post-closure impact evaluations, but cannot fund grants, infrastructure, cash transfers, or activities inconsistent with UNDP policies.

CAPT Programme Officers will serve as the primary project managers for this Engagement Facility, with the Programme Team Lead providing overall oversight.

The details below provide the output/s per intiaitive:

- ***HFC Phase-Down Management Plan Preparation***

The main objective of the HFC Phase-Down Management Plan Preparation is to develop an overarching strategy with a plan of action, also known as the Kigali Implementation Plan (KIP), to allow the Philippines to meet the ten percent (10%) HFC consumption reduction target under the Kigali Amendment, to be considered for funding by the Multilateral Fund (MLF). For this purpose, the Engagement Facility will be used to facilitate operations in order to achieve the following output:

a) Kigali Implementation Plan (KIP) Proposal
Overarching strategy and action plan for the phase-down of HFCs in accordance with the country's phase-down target endorsed and submitted to the Multilateral Fund Secretariat (MLFS) and approved at the MLF Executive Committee (ExCom) Meeting for funding and implementation. The overarching plan may include strategies and action plans for the phase-down of HFCs in specific sectors as identified in consultation with the National Ozone Unit (NOU) and stakeholders.

- ***National Plastic Action Plan (NPAP)***

The Engagement Facility will support the implementation of priority National Plastic Action Partnership (NPAP) activities, ensuring continuity of work while longer-term financing is being secured.

While NPAP receives support from the World Economic Forum (WEF), this funding is primarily limited to Secretariat and operational functions and is subject to periodic approval, with no

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certainty on duration or continuity. Engagement Facility support therefore provides a critical bridge to sustain implementation, respond to government priorities, and maintain momentum on policy, technical, and coordination activities essential to NPAP delivery.

NPAP delivers three core functions:

1. **Convening and coordination** – aligning government, private sector, civil society, and development partners to strengthen coordination, policy coherence, and collective action across the plastics value chain.
2. **Evidence generation and strategic planning** – producing national plastic baselines, scenario modelling, and the National Plastic Action Roadmap, with strong integration of gender equality and social inclusion considerations.
3. **Catalyzing implementation** – supporting the operationalization of policies such as Extended Producer Responsibility (EPR), identifying financing pathways, and strengthening institutional, market, and implementation capacity.

GEF Oversight Support

The Engagement Facility is being used for UNDP staff to direct support GEF project activities including but not limited to project assurance, workshops, conferences, learning events, project assessments.

Output statement/s	Indicator/s	Baseline		Annual Target
		Year	Value	2026
Output 1.1 HFC Phase-Down Management Plan Preparation Kigali Implementation Plan	An overarching action plan for the phase-down of HFCs accepted and endorsed by the DENR-EMB.	2026	0	1
Output 2.1 NPAP	National Plastic Action Roadmap finalized and adopted	2026	0	1
Output 3.1 GEF Execution Support – Project Assurance Activities	No. of assurance activities conducted, includes programme visits	2026	0	5
Output 3.2 GEF Execution Support – Project Evaluations	No. of support to project evaluations conducted	2026	0	1
Output 3.3 GEF Execution Support – Project Activities	No. of project activities conducted	2026	0	8

II. Planned Activities

In order to achieve the above outputs, the following activities shall be undertaken:

HFC Phase-Down Management Plan Preparation

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a) **Planning and coordination with the Philippines' national ozone unit and agencies involved in Montreal Protocol compliance.**
   Conducting meetings, consultations, and planning sessions for the KIP-PRP activities, ensuring that agreed upon schedules and outputs are delivered on time and that partners are kept up to date on the preparation progress.
b) **Collection and analysis of national HFC consumption data.**
   Coordinating with the NOU in the collection of data from sources including but not limited to the national HFC consumption data reported to the Ozone Secretariat and the Multilateral Fund through the Country Programme (CP) and Article 7 (A7) reports, respectively; or data from other national regulatory agencies relating to HFC consumption as identified during plannings/consultations.
c) **Collection and analysis of sectoral data on HFC and its stakeholders.**
   Coordinating with the NOU in the collection of data related to HFC consumption in specific sectors. Aside from data taken from the national consumption data, data gathering may be done through interviews, organizing workshops and consultations, and through coordination with other institutions involved in HFC control.
d) **Preparation of the Kigali Implementation Plan.**
   Preparing of the overarching strategy and action plan that is informed through the collection and synthesis of HFC-related data. The overall KIP may be supplemented with the sectoral plans that targets specific HFC industry stakeholders.
e) **Technical assistance to the government of the Philippines relating to Montreal Protocol compliance.**
   Providing technical assistance to the NOU which may include advisory on the MLF submission and negotiation process, HFC reporting and control mechanisms, and other MP-related concerns as deemed necessary.

National Plastic Action Plan (NPAP)

Output 1: Organizational and operational procedures of NPAP established, and stakeholder engagement mechanism developed

- Conduct of Steering Board Meetings
- Meetings with NPAP focal points and partners

Output 2: Evidence generated from studies to feed into national action roadmap and community engagement

- Finalization, Policy Adoption, and Rollout of National Plastic Action Roadmap
- EPR Assessment
- Circular Economy Stocktaking

Output 3: Initiatives from partners leveraged to grow NPAP community and increase coordination and collaboration

- Establishment and Operationalization of Working Groups
- Development of Financing Roadmap

Output 4: ASEAN-related Support

- Support to Philippines ASEAN Chairship

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GEF Oversight Support

a) Planning and coordination with project management units

During regular coordination/ check-in meetings with GEF projects, consult and plan for identified activities that need UNDP support and ensure its alignment with the approved AWP and timeliness of the conduct of activities. Develop activity design for approval.

b) Secure necessary approvals and arrangements for activities outside Metro Manila

Following the approved activity design, plan with the PMU and within CAP to ensure needed logistical arrangements are made prior to the conduct of activities.

c) Documentation of project activities

Coordinate with the project management unit to ensure documentation of activities. This may be in different written formats such as back to office report, project activity report, etc.

III. Multi-Year Workplan

Prepare a detailed multi-year workplan utilizing the **MYWP Template.xlsx**

Consolidated MYWP

IV. Annexes

a. SESP[2] Use the standard SESP template and ensure this is encoded in Quantum+. *The project, being in its preparation phase, is exempt from the SESP.

b. **Risk register** Use the standard risk register template and ensure this is encoded in the Quantum Results Module.

V. Project markers

HFC Phase-Down Management Plan Preparation

Gender marker *(Select one only)*	❑ ☐ Gen 0 – No noticeable contribution to gender equality ❑ ☒ Gen 1 – Some contribution to gender equality ❑ ☐ Gen 2 – Gender equality is a significant objective ❑ ☐ Gen 3 – Gender equality as a principal objective	
Partner marker *(Check all that applies)*	❑ ☐ UN agency ❑ ☐ Int'l financial institution ❑ ☐ Private sector	❑ ☒ National government ❑ ☐ Subnational government

[2] Refer to the UNDP SESP Guide for the latest SESP template. The SESP applies to all development activities not included in the SESP exemptions list. Projects that consist solely of the following functions or activities are exempt from screening: a) UNDP serves as administrative agent; b) Preparation and dissemination of reports, documentation and communication materials; c) organization of an event workshop, training; d) strengthening capacities of partners to participate in international negotiations and conferences; e) partnership coordination (including UN coordination) and management of networks; and/or f) global/regional projects with no country-level activities (e.g., activities such as knowledge management, inter-governmental processes); g) development effectiveness projects and institutional effectiveness projects. Should projects meet any of the above criteria for exemption, the exemption has to be recorded in Quantum+.

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	❑ ☐ NGOs/CSOs ❑ ☐ Multi-stakeholders	❑ ☐ Research institution/university ❑ ☐ Donor government
Digital Marker – Digital Technology	❑ ☐ No digital technology utilized ❑ ☒ Output partially enabled through digital technology ❑ ☐ Output significantly enabled through digital technology ❑ ☐ Digital technology is principal enabler for the output	
Digital Marker – Digital Result	❑ ☒ No contribution to digital transformation of society and inclusive digital ecosystems ❑ ☐ Contributing to digital transformation of society and inclusive digital ecosystems ❑ ☐ Significantly contributing to digital transformation of social and inclusive digital ecosystems ❑ ☐ Digital transformation of society and inclusive digital ecosystems in principal objective	

SDG target/s *(select maximum of 3, see list here:* Quantum project markers.docx*)*	• SDG 12: Responsible Consumption and Production
	  ○ 12.4 Responsible management of chemicals and waste
	• SDG 13: Climate Action
	  ○ 13.2 Integrate climate change measures into policies and planning	

OECD marker *(select one only, See list here:* Quantum project markers.docx*)*	• OECD DAC Category 410	

National Plastic Action Plan (NPAP)

Gender marker *(Select one only)*	❑ ☐ Gen 0 – No noticeable contribution to gender equality ❑ ☐ Gen 1 – Some contribution to gender equality ❑ ☒ Gen 2 – Gender equality is a significant objective ❑ ☐ Gen 3 – Gender equality as a principal objective	
Partner marker *(Check all that applies)*	❑ ☒ UN agency ❑ ☒ Int'l financial institution ❑ ☒ Private sector ❑ ☒ NGOs/CSOs ❑ ☒ Multi-stakeholders	❑ ☒ National government ❑ ☒ Subnational government ❑ ☒ Research institution/university ❑ ☒ Donor government
Digital Marker – Digital Technology	❑ ☐ No digital technology utilized ❑ ☐ Output partially enabled through digital technology ❑ ☐ Output significantly enabled through digital technology ❑ Digital technology is principal enabler for the output ❑	
Digital Marker – Digital Result	❑ No contribution to digital transformation of society and inclusive digital ecosystems ❑ ☒ Contributing to digital transformation of society and inclusive digital ecosystems	

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	❑ Significantly contributing to digital transformation of social and inclusive digital ecosystems ❑ Digital transformation of society and inclusive digital ecosystems in principal objective
SDG target/s *(select maximum of 3, see list here:* Quantum project markers.docx*)*	Goal 12: Responsible Consumption and Production 12.4 Responsible management of chemicals and waste and all wastes throughout their life cycle. Goal 17: Partnerships for the Goals 17.16 Enhance the global partnership for sustainable development Goal 10: Reducing Inequality 10.2 Promote universal social, economic and political inclusion
OECD marker *(select one only, See list here:* Quantum project markers.docx*)*	410 General Environment Protection